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Research Article

Treatment-assessment of Zygoma-tripod, -quadripod, –arch and Orbital floor Fractures in the Elderly Patient: Results of a Longitudinal Clinical Study of 20 years (1995-2015) with 1318 Patients in a General Traumatology-department and Evidence-based Treatment Suggestions

Abstract

The ever growing population of elderly patients aged 70 years and onwards are prone to facial injuries caused by a general degrading medical and mental state. Main cause for zygoma-tripod, -quadripod, –arch and orbital floor fractures are falls, followed by traffic accidents and only to a small extent violence. Surgical reduction for these types of fractures is recommended but not correlated to the general medical and mental state of this patient-group. Aim of the study was to compare the outcomes of surgical treatment versus non-surgical observation.

Between 1995 and 2014 a total of 1318 patients – hospitalized for isolated zygoma- and correlated fractures – were initially screened for cause of accident, pain, hyp/anaesthesia of the corresponding infraorbital nerve, mandible mobility, facial emphysema/haematoma and diplopia and then distributed into three different groups: no functional and/or cosmetic surgery indication (X), surgery indication but denied by internist and/or anaesthetist due to high general medical risks (Y) and surgery indication and released for surgery by internist/anaesthetist (Z). Follow up for each group was performed on day 5, 7 and 1 month after date of injury.

Pain assessment revealed a significant higher pain-load for group Z on the 5th and 7th day after injury. Mandible mobility, facial emphysema/haematoma and diplopia improved significantly better in group X and Y on day 5, 7 and after 1 month compared to group Z. Hyp/anaesthesia of the corresponding infraorbital nerve improved generally but not significant between all three groups.

The results of this study suggest that indication for closed or even more for open-reduction surgery of isolated midface-bone fractures should be applied very restrictive and only in accordance with specialists for internal medicine and anaesthesiology for elderly patients and non-surgical observation might lead to better results both for life-quality and remaining life-time from the patients point of view.

Introduction

Although the incidence of zygoma- and related orbital-floor fractures decreased over the years towards the turn of the millennium for road-vehicle-accident related causes in the overall population of countries with mandatory passive and active safety-devices in cars and mandatory helmet-duty when motorcycling [1], still zygoma-tripod and/or arch-fractures and related orbital-floor-fractures represent the most common trauma of facial bones representing a quarter of all cases, followed by generic orbital-floor-fractures [2] and are now increasing again in recent years by ever higher numbers of

bicyclists in urban and leisure-time traffic [3], general leisure-time sports activities [4] and countries with strong increase of road-vehicle traffic [5].

Few studies were published recently investigating the incidence and causes of facial-bone-trauma and prevalence of zygoma-tripod, -quadripod, – arch and orbital floor-fractures in the growing elderly population of 70 years + in various parts of the world [6-11] but only isolated studies suggest therapy-guidelines for treatment modalities in this increasing patient-group with mostly general chronic-disease anamnesis [12,13] which should be based on a multidisciplinary

approach in accordance with specialists for internal medicine and anaesthesia [14], preventing Cranio-Maxillofacial (CMF) -surgery-induced major general medical complications [15,16], which might lead to iatrogenic reduction of quality of life and possibly of life-time for the elderly patients.

Aim of the longitudinal study of 20 years was the unbiased evaluation of medical indications for Cranio-Maxillofacial (CMF)-surgical interventions in patients at an age of 70 years and onwards with isolated zygoma-tripod, -quadripod and/or arch-fractures and related fractures of the orbital-floor as well as the comparative analysis of outcomes of different therapeutic strategies from a patient-centered view of this ever growing group of elderly patients and finally to question the surgical treatment indication and -modality compared to younger people by a multidisciplinary approach.

Material and Methods

Starting from January 1st 1995 until December 31st 2014 a total number of 1392 patients was received at the Traumatology Unit of the General Hospital “Wilhelminenspital” of the City of Vienna (one of three main traumatology units in Vienna), aged from 70 years onward and presenting isolated zygoma-tripod and/or arch-fractures and related fractures of the orbital-floor in routine entrance CAT-Scan examinations without any additional injuries. This group of patients then was – beside routine diagnostics and anamnesis – specifically and standardized documented at hospitalization for:

- I Cause of the injury (fall/traffic accident/violence)
- II Blowing-nose activities post injury (to estimate influence on swelling parameter investigated)
 - A) Initial pain-assessment based on a 11-point numerical pain rating scale enhanced by the Wong-Baker FACES pain rating scale (Figure 1)
 - B) Hyp-/Anaesthesia of infraorbital nerve (2-point-discrimination-test)
 - C) Mobility of the mandible at speaking/chewing (no

restrictions/ mild restrictions = no pain at speaking, only slight pain at masticating habitual food, feels like muscle-soreness, but acceptable/ heavy restrictions = speaking causes pain, mastication of habitual food causes heavy pain, pulpy/liquid food is preferred)

D) Extension of Facial haematoma and emphysema (periorbital/midface/full-face on injury-side)

E) Subjective awareness of diplopia

After hospitalization the patient-specific CAT-scans and results of the clinical investigation were analyzed and sorted into two categories which were also matched to the 11-point numerical pain rating scale enhanced by the Wong-Baker FACIAL expression pain-scale:

a) Fractures with no or minimal dislocation (max. 1 – 2,7 mm) and no fracture-stages/fracture-crepitations/maxillary mobility palpable in clinical investigation (Figure 2)

b) Fractures with dislocations above critical-size-defects (2,7mm [17]) and/or fracture-stages/fracture-crepitations/maxillary mobility palpable in clinical investigation (Figure 3)

According to the category of the fracture-classification, patients were sorted into two therapy-groups from the CMF-surgeons point of view, basically with a less invasive approach than proposed in the literature [18,19]. Basically a more conservative surgical treatment approach was followed [8,9] in favour of only closed transcutaneous fracture reduction [20] and only optional open reduction, osteosynthesis and orbital-floor-reconstruction.

1.) Cat. a) – patients were assigned conservative treatment

2.) Cat. b) – patients were assigned closed transcutaneous fracture reduction with an option to open reduction and fixation with osteosynthesis-plates on the infraorbital rim and orbital floor reconstruction

After assigning the therapy-groups from the Cranio-Maxillofacial (CMF)-surgeons point of view a consiliary specialist in Ophthalmology investigated the patients for diplopia, its objective

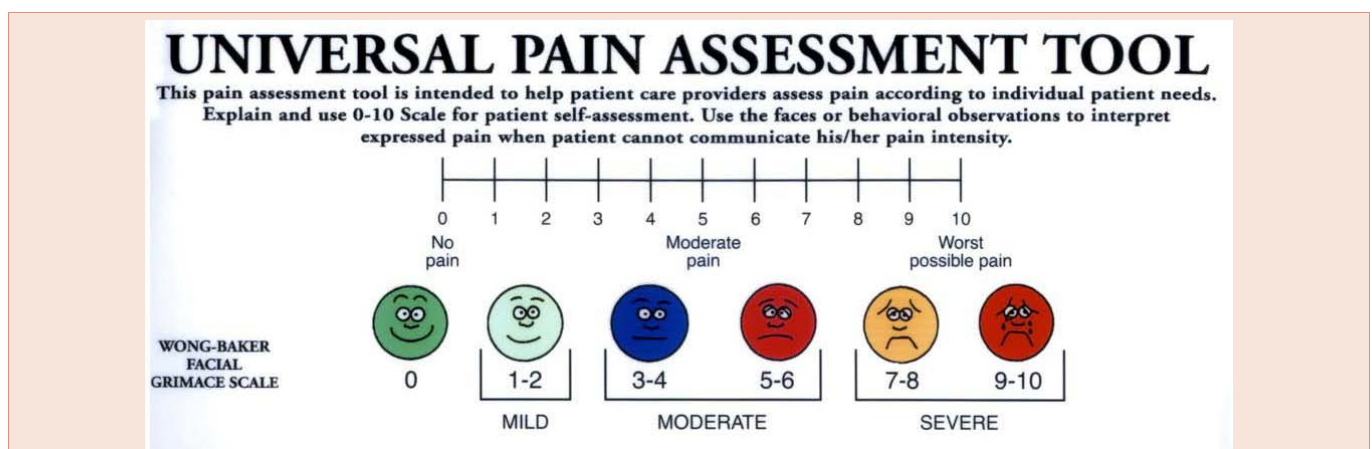


Figure 1: 11-point numerical pain rating scale enhanced by the Wong-Baker FACES pain rating scale.

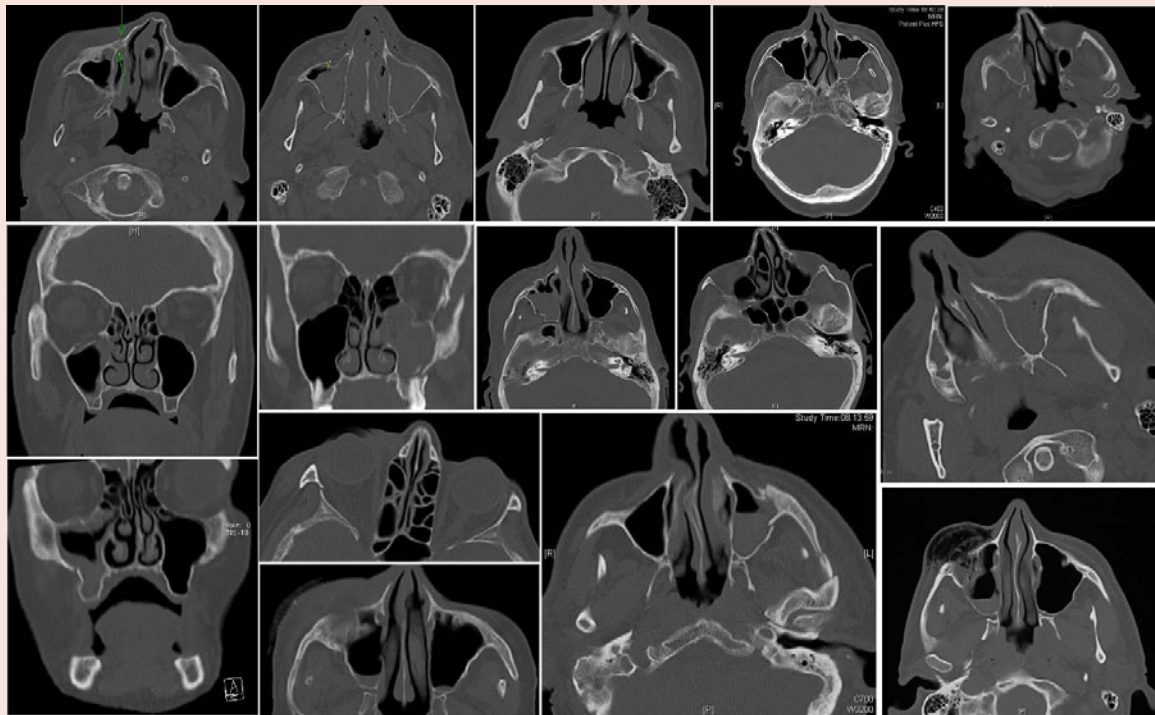


Figure 2: Samples of study-CAT-scans of patients aged 70+ showing minimal/below critical-size-defect dislocations of isolated zygoma-tripod and/or arch-fractures and related fractures of the orbital-floor.

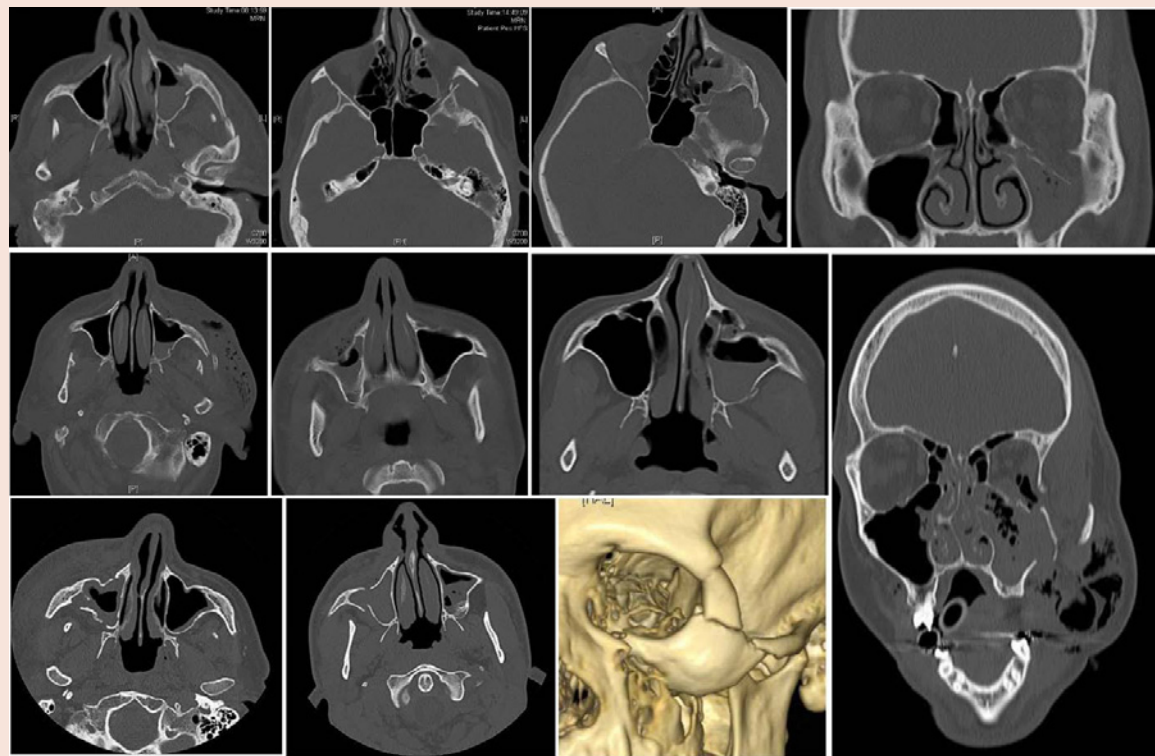


Figure 3: Samples of study-CAT-scans of patients aged 70+ showing above critical-size-defect dislocations of isolated zygoma-tripod and/or arch-fractures and related fractures of the orbital-floor.

relevance - if existent - in relation to preexistent visual impairment and possible entrapment of eye-muscles.

A final decision-making-conference to determine final therapy for Cat. b)-patients then was held with a Specialist for Internal Medicine and the Chief-Anaesthesiologist, weighing the risks of the patients general medical condition [15,16] and risks of short-time (closed reduction) or long-time (open reduction, osteosynthesis, orbital-floor-reconstruction) general anaesthesia [14] versus functional and/or aesthetic restitution of the patients midface by Cranio-Maxillofacial (CMF)-surgery resulting in two groups:

i) Observation group: risks of general anesthesia and surgery [14,15] outweigh possible benefits from surgery regarding possible long-term stomathognathic/visual disabilities

ii) Surgery group: risks of general anesthesia and surgery [14,15] acceptable towards possible benefits from surgery

Once decided in favour of surgical treatment, surgery took place latest by the end of the second day after hospitalization. All patients of both groups i) and ii) then were evaluated for A)-E) as on reception, starting on the fifth day after injury, the seventh day and after one month and compared to Cat. a)-patient-group resulting in three separate surveillance-groups: Group X (conservative treatment), Group Y (surgery indicated by CMF but contraindicated by Internist/Anaesthesist), Group Z (closed reduction-surgery performed)

Finally the total hospitalization-time at the traumatology-department was recorded by count of days and compared for each group. An evaluation of cosmetic results for all groups proved impossible from the patients point of view due to unreliable data acquisition because of vastly varying mental states within all patient groups.

All data were statistically processed and compared for mean value, standard deviation, bimodal discrete probability-distribution and T-Students-Test for significance ($p < 0.05$).

Results

From 1392 hospitalized patients 74 patients had to be excluded from the study. Reasons for exclusion were:

Deceased during hospitalization ($n=6$; distribution: group X $n=1$, group Y $n=1$, group Z $n=4$)

Deceased after release from hospital before 1 month post injury ($n=11$; no data available on cause)

Missing documentation of clinical assessment/patients missing final follow up after 1 month ($n=57$)

1318 hospitalized patients finally were evaluated, injury causes and post-injury blowing nose-activities are cited in Table 1.

Initial pain-assessment at hospitalization revealed a mean pain value of 4,63 based on the 11-point numerical pain rating scale enhanced by the Wong-Baker FACES pain rating with a standard-deviation of 2,38 resulting in an empirical frequency distribution

matching 5 on the pain-scale. Hyp- or anaesthesia of the corresponding infraorbital nerve was detected in 66.24% of cases, mild restrictions in mandible mobility (moderate pain in opening- and laterotrusion activities) in 30.12% and heavy restrictions (inability to fully open the mouth actively) in 3.64%, emphysema and haematome restricted to the periorbital area in 63.58%, midface 30.12% and full face in 7.66%, subjective Diplopia occurred in 29.36% (Table 2).

After separation of the patients according to CAT-Scan-results into Cat. a) and Cat. b)-groups all investigated parameters were assigned to the specific group revealing no significant difference

Table 1: Injury-causes and nose-blowing activity.

INJURY CAUSE	Numbers of patients	Percentage
Fall	824	62,52%
Traffic accident:	321	24,36%
Violence	173	13,13%
Blowing nose		
YES	1302	98,79%
NO	16	1,21%

Table 2: Initial clinical assessment of investigated parameters.

Pain Scale (A)	Total #	
0	87	6,60%
1	56	4,25%
2	128	9,71%
3	142	10,77%
4	157	11,91%
5	267	20,26%
6	194	14,72%
7	137	10,39%
8	83	6,30%
9	54	4,10%
10	13	0,99%
	1318	
Hyp/Anaesthesia (B)		
YES	987	74,89%
NO	331	25,11%
	1318	
Mandible Mobility (C)		
no restrictions	873	66,24%
mild restrictions	397	30,12%
heavy restrictions	48	3,64%
	1318	
Ext Haem/Emph (D)		
None	0	
Periorbital	838	63,58%
Midface	379	28,76%
full-face	101	7,66%
	1318	
Diplopia (E)		
YES	387	29,36%
NO	931	70,64%
	1318	

Table 3: Pain assessment after separation in Cat. a) and Cat. b) – groups compared to cumulative values.

Pain Scale	All Pat.	Cat. a)	Cat. b)
mean pain value	4,63	4,32	5,01
std.dev.	2,38	2,44	2,24
emp.frequ.distr.	5,00	5,00	5,00
significance p			0,4986

Table 4: Comparison between Cat. a)- and Cat. b)-group regarding Hyp/Anaesthesia, mandible mobility-restrictions, location of haematoma/emphysema and diplopia.

no/minimal dislocation	(conservative treatment)	> critical size fracture gap	(surgery- indication)	
				Hyp/Anaesthesia (B)
394	54,72%	593	99,16%	YES
326	45,28%	5	0,84%	NO
			<i>P</i> <0,05	
				Mandible Mobility (C)
683	94,86%	190	31,77%	no restrictions
37	5,14%	360	60,20%	mild restrictions
0	0,00%	48	8,03%	heavy restrictions
			<i>P</i> <0,05	
				Extension of Haematoma/Emphysema (D)
0		0		none
534	74,17%	304	50,84%	periorbital
142	10,77%	237	39,63%	midface
44	6,11%	57	9,53%	full-face
			<i>P</i> <0,05	
				Diplopia (E)
131	18,19%	256	42,81%	YES
589	81,81%	342	57,19%	NO
			<i>P</i> <0,05	

($p=0,5$) in subjective pain (Table 3) but significantly in all other parameters investigated (Table 4).

Cat. b) – group-patients then were assigned to conservative or surgical treatment according to the general risk analysis by the council of a Specialist for Internal Medicine, the Chief-Anaesthesiologist and the CMF-surgeon resulting in group Y-patients ($n=326$) presenting unbearable risks when undergoing even short and minimal invasive surgery and group Z-patients ($n=272$) released for surgery by the consiliary-group of physicians.

Main reasons for vetoed surgery by the Specialist for Internal Medicine and the Chief-Anaesthesiologist were: not settleable/interruptible ongoing anticoagulative therapy ($n=93$; 28.53%), intolerable cardiovascular risks ($n=87$; 26.69%), COPD ($n=78$; 23.93%), final stage cirrhosis of the liver ($n=32$; 9.82%), dementia ($n=19$; 5.83%), uncontrolled diabetes ($n=12$; 3.68%), nutritional/Kwashiorkor Marasmus ($n=5$; 1.53%).

The pain-assessment of group X, group Y and group Z on day 5,

day 7 and 1 month after injury revealed only one significant difference for group Z (“surgery-group”) on day 5 after injury with an empirical frequency distribution on the pain-scale of 5 compared to 3 for group Y and 0 for group X ($p<0.05$) (Table 5).

There was no significant difference regarding changes in hyp/anaesthesia of the injury-corresponding infraorbital nerve between all three groups ($p>0.05$) but significant differences in all other assessed parameters (mandible mobility, haematoma/emphysema, diplopia) with clinical worst results for group Z ($p<0.05$) at day 5 post injury (Table 6) and day 7 (Table 7).

In the 1-month assessment after injury significant differences were found only for the parameters hyp/anaesthesia of the infraorbital nerve between group Y and X/Z ($p<0.05$) and mandible mobility between group X/Y and Z ($p<0.05$) with group Z always showing the worst clinical results (Table 8).

Duration of hospitalization revealed a significant longer stay at the traumatology department for group Z compared to group X and Y ($p<0.05$) (Table 9).

Table 5: Pain comparison between group X, Y and Z on day five, seven and one month after injury (mean pv = mean pain-value on the numerical pain-scale, std.dev. = Standard Deviation, efd = empirical frequency distribution of Wong-Baker enhanced numerical pain-scale, signific = Significance).

Group	X	Y	Z	X	Y	Z	X	Y	Z
Pain Scale	Day 5			Day 7			month 1		
mean pv	2,47	3,09	5,29	1,85	2,52	4,74	0,18	0,43	1,19
std.dev.	2,21	2,48	2,34	1,78	2,09	2,42	0,7	1,12	2,04
Efd	0	3	5	0	0	5	0	0	0
signific		p= 0,0794	p= 0,0479		p= 0,1521	p= 0,1012		p= 0,5877	p= 0,5228

Table 6: Clinical parameters assessment at day 5.

Hyp/Anaesthesia (B)	group	X	Y	Z
YES	382	53,06%	128	39,26%
NO	338	46,94%	198	60,74%
Mandible Mobility (C)				
no restrictions	701	97,36%	193	59,20%
mild restrictions	18	2,50%	109	33,44%
heavy restrictions	1	0,14%	24	7,36%
Ext Haem/Emph (D)				
None	0	0,00%	0	0,00%
Periorbital	662	91,94%	227	69,63%
Midface	43	5,97%	83	25,46%
full-face	15	2,08%	16	4,91%
Diplopia (E)				
YES	21	2,92%	28	8,59%
NO	699	97,08%	298	91,41%

Table 7: Clinical parameters assessment at day 7.

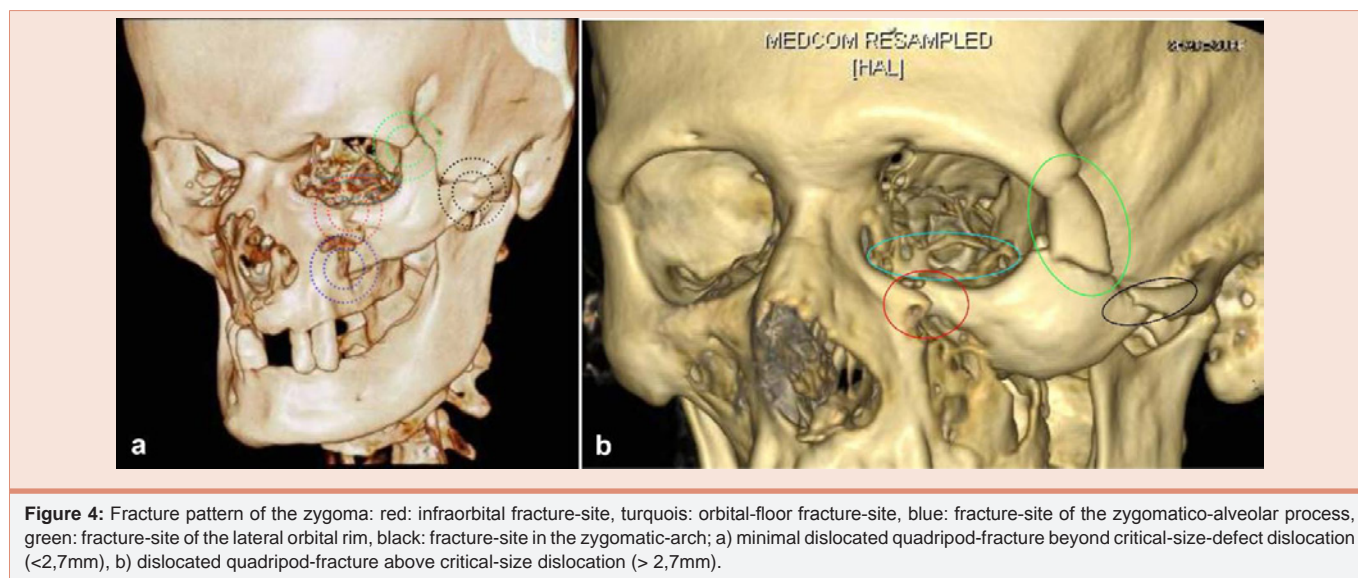
Hyp/Anaesthesia (B)	group	X	Y	Z
YES	321	44,58%	96	29,45%
NO	399	55,42%	230	70,55%
Mandible Mobility (C)				
no restrictions	715	99,31%	274	84,05%
mild restrictions	4	0,56%	46	14,11%
heavy restrictions	1	0,14%	6	0,46%
Ext Haem/Emph (D)				
None	6	0,83%	4	1,23%
periorbital	698	96,94%	278	85,28%
Midface	15	2,08%	36	11,04%
full-face	1	0,14%	8	2,45%
Diplopia (E)				
YES	8	1,11%	11	3,37%
NO	712	98,89%	315	96,63%

Table 8: Clinical parameters assessment after one month.

Hyp/Anaesthesia (B)	group	X	Y	Z		
YES	152	21,11%	23	7,06%	102	37,50%
NO	568	78,89%	303	92,94%	170	62,50%
Mandible Mobility (C)						
no restrictions	720	100,00%	323	99,08%	208	76,47%
mild restrictions	0	0,00%	2	0,61%	61	22,43%
heavy restrictions	0	0,00%	1	0,31%	3	1,10%
Ext Haem/Emph (D)						
None	718	99,72%	326	100,00%	265	97,43%
periorbital	2	0,28%	0	0,00%	6	2,21%
Midface	0	0,00%	0	0,00%	1	0,37%
full-face	0	0,00%	0	0,00%	0	0,00%
Diplopia (E)						
YES	1	0,14%	2	0,61%	4	1,47%
NO	719	99,86%	324	99,39%	268	98,53%

Table 9: Duration of hospitalization.

Days	Duration Hospitalization		
	group X	group Y	group Z
Mean	10,4	10,7	15,3
Min	8	8	12
Max	13	15	21
std.dev.	2,1	2,4	3



Discussion

The zygoma – composed of woven bone – mostly cracks as tripod- or quadripod-fracture with lesser or major dislocations also of parts of the orbital-floor downwards and inwards relative to the maxillary sinus in vicinity to the foramen of the infraorbital nerve. Contrary

to fractures of the long bones and the mandible the surfaces of the fractures show a very rough and uneven structure and the zygomatic arch does not always fracture necessarily due to the high elasticity of woven bone resulting in only a tripod-fracture stabilized by the zygomatic arch (Figure 4).



Figure 5: Isolated blow-out-fracture of the orbital floor with minimal dislocation and vast intraorbital emphysema.

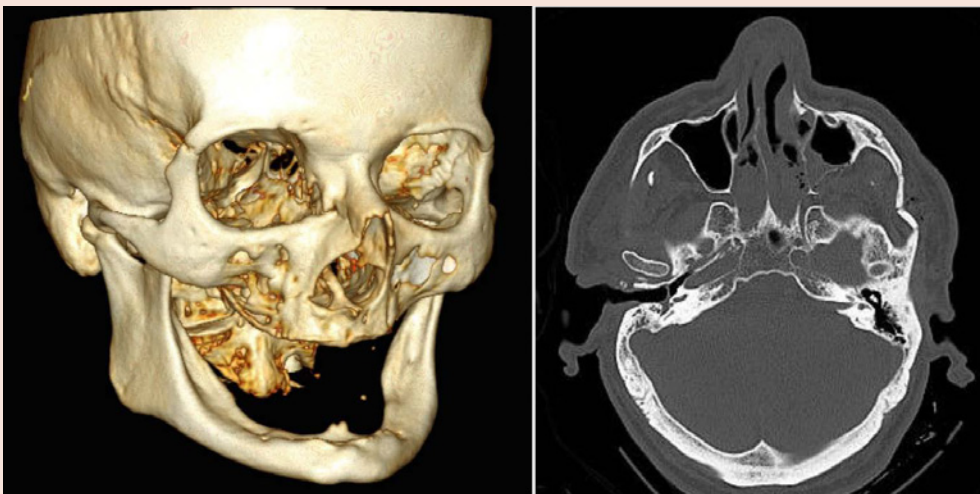


Figure 6: Isolated zygomatic arch fracture with the typical three-fracture-spots appearance (right picture).

More rarely – probably because of wearing corrective glasses and main reason of injury is fall or traffic-accident (Table 1) – isolated blow-out fractures of the orbital floor occur in elderly patients. Both fracture types have in common vast intraorbital and at least periorbital eye-lid emphysema accompanied by haematoma which is attributed to post-accidental nose-blowing in 98.79% (Table 1, Figure 5). These intraorbital emphysema account for the occurrence of diplopia according to the eye-specialists exploration which is backed by the fact that in the one-month checkup less than 1% of the groups not undergoing surgery showed diplopia and 1.47% of the patients treated surgically.

Isolated fractures of the zygomatic-arch impose mostly as three-point-fractures inverting the convex shape of the arch with an appearance of a collapsed bridge (Figure 6).

Although CAT-Scan diagnosis and 3D-reconstructions (Figures 3, 4 and 6) are valuable tools to determine the precise fracture – pattern, number of and distance between fracture-fragments and volume of intraorbital emphysema, they cannot replace a thorough clinical investigation by palpation and functional analysis of the eye-bulbs and stomathognathic system which has to take place firsthand.

As the results of this study suggest, all vital functional parameters such as hyp/anaesthesia of the corresponding infraorbital nerve, function of the masticatory system and diplopia are directly and linear correlated to the severity of the fracture dislocation and the extension of emphysema and haematoma (Table 4).

Already at this stage the CMF-surgeon can determine an absolute (= major functional impairment of eye-bulb and/or the masticatory system) or relative (cosmetic) indication for surgical reduction of the zygoma tripod/quadrupod/zygoma-arch and correlated orbital floor

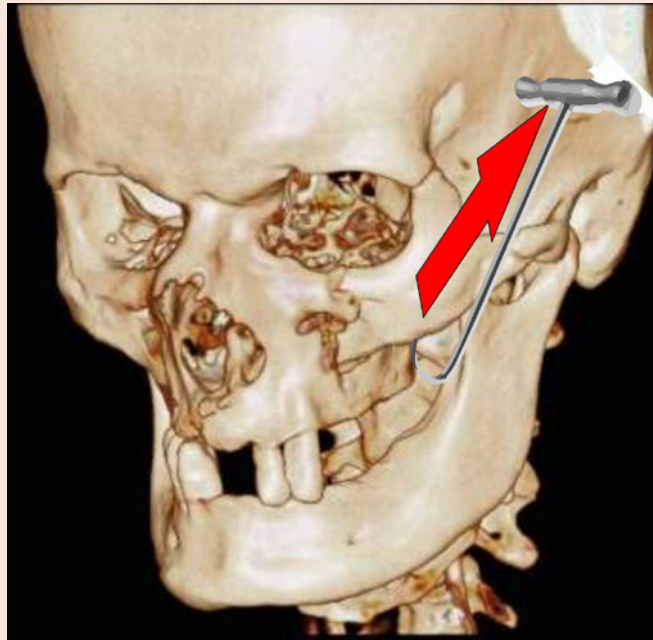


Figure 7: Transcutaneous subzygomatic fracture-reduction procedure.



Figure 8: CAT-scan series from frontal to dorsal through an isolated fracture of the orbital floor. Haematoma cannot be distinguished from periorbital fat-tissue and unity of bulb, muscles and periorbital tissue are stabilized by anterior, posterior, central and lateral intact parts of the orbital floor. No diplopia was diagnosed in this case and no restriction in eye-movement.

fracture based on the subjective patients sensations of impairment with a prospective outlook that most impairments at the time of hospitalization and first anamnestic and diagnostic assessment will normalize with a probability of more than 99% (Table 8) in case the CMF-surgeon decides against surgical reduction. Contrary, surgical intervention leads to lesser good functional results as the results of this study suggest. Above all, a final decision on surgical reduction should be made only after consultation of a specialist for internal medicine and the responsible anaesthetist to weigh the high general medical risks caused by the surgery against the now questionable benefits of fracture-reduction-surgery (Table 8).

Once all participating physicians agree on a low general medical risk when performing surgical reduction of the fracture, the type and invasiveness of the surgery has to be planned with respect to the general biological state of elderly patients and the biological processes of bone healing which might even be compromised [21] by invasive multiple-approach surgery [19]. Mandatory open reduction and fracture-stabilization with osteosynthesis-plates [19] have to be reconsidered strongly in the light of the results of the current study and the authors experience of 25 years with comparable good results with closed transcutaneous reduction even in young patients (unpublished data).

As stated above, the rough and spiky surface of the fractures and the tripodism/quadripodism of the fractured zygoma provides enough passive stability against muscular forces once reduced anatomically, in case of an isolated zygoma-arch-fracture by the restored convexity of the arch. Corresponding dislocations of parts of the orbital floor are reduced as well together with the body of the zygoma.

Surgery therefore should focus on minimal invasive transcutaneous fracture-reduction with only an option for stabilization of the reduction by osteosynthesis: a 3mm-incision is performed a finger-wide caudal of the zygoma-body into the cheek and a fracture-reduction hook inserted on the dorsal face of the zygoma-body. The infraorbital fracture-stage is palpated and the hook pulled strongly perpendicular to the zygoma-body outwards and upwards until the fractured segment snaps in with a characteristic “smacking” sound and the infraorbital fracture-stage can be palpated as fully reduced (Figure 7). Manual pressure loading of 2-3 N on the reduced fragment shows no mobility in almost 98% (unpublished data) which biomechanically is attributed to the self-stabilizing friction between the rough and spiky fracture-ends and the three dimensional stabilization of the tripod (enhanced by the unfractured zygomatic arch) and quadripod. The same surgical procedure is also applicable to isolated impression-fractures of the zygomatic arch which stabilizes itself by the bridge-like convexity but can be loaded for stability-check only with 1 N maximum (unpublished data). No dislocations of the transcutaneous reduced fractures were observed by masseteric pull-forces neither in patient-group Z of this study nor in younger patients treated the same way.

Isolated blow-out-fractures of the orbital floor especially in elderly people rarely lead to subsidence of the corresponding eye-bulb. Mostly a high-stand of the bulb was diagnosed by the ophthalmologist owed to intraorbital emphysema and haematoma. The eye-bulb and eye-muscles are protected by the periorbital fat-tissue and even in case of a prolapse of a small part of the periorbital fat-tissue no entrapment of the caudal muscles occurs in almost all cases. Furthermore a clear distinction between haematoma and periorbital fat-tissue cannot be made in most CAT-scan-investigations and mostly the bulb and periorbital tissue are statical and functional stabilized by the intact surrounding orbital floor (Figure 8). Contrary, a surgical reduction of the fractured orbital floor seems to lead to a significant prolonged diplopia compared to the patient-group without surgical intervention as the results of this study suggest (Table 8).

Regarding cosmetic indications for zygoma-fracture reduction no valid data could be obtained from the subjective patients point of view due to enormous variances in the mental state of patients in all three groups under investigation. Although no valid photo-documentation could be obtained from patients time-close pre-injury facial symmetry, objectively no significant cosmetic deficiencies could be observed both for group X and Y compared to group Z owed to the appearance of aging faces of the European WWII- and post WWII-generation which might be attributed to a compensation by the soft tissues of the corresponding cheek.

Conclusions

The overall results of the presented study suggest that both minimal invasive transcutaneous and open reduction of zygoma-tripod, -quadripod, -arch and orbital floor fractures in the elderly patient have to be decided extremely carefully and should be performed only in cases of vastly fragmented and dislocated fractures and/or when other skeletal fractures need open reduction and osteosynthesis. A prior consilium with an internist and anaesthesiologist to weigh the general risks of an anaesthesia for the planned surgery should be considered mandatory and in doubt the professional opinion of the internist and/or anaesthetist should prevail. Closed or open fracture-reduction for only cosmetic indications should be performed only based on the explicit wish of the elderly patient after signing a claim-statement consent. Mostly – from the patients point of view - the best results can be obtained by the Cranio-Maxillofacial surgeon when not performing surgery in patients aged 70 years or more both for timely functional restitution, pain duration and surgery-related morbidity.

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