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number

## Research Article

# Risk Factors for Asthma Hospitalization among Adults and Elderly

### Abstract

Joint effect of biological (pollen) and chemical air pollutants on asthma emergency room (ER) visits was analyzed for Szeged region of Southern Hungary. Our database of a nine-year period (1999-2007) includes daily number of asthma emergency room (ER) visits, and daily mean concentrations of CO, PM<sub>10</sub>, NO, NO<sub>2</sub>, O<sub>3</sub> and SO<sub>2</sub>, furthermore two pollen variables (*Ambrosia* and total pollen excluding *Ambrosia*), as well. The analysis was performed for ER visits of asthma bronchiale using two age groups (adults and the elderly) of males and females for three seasons. Factor analysis was performed in order to clarify the relative importance of the pollutant variables affecting asthma ER visits. Asthma ER visits denote notably stronger associations with the pollutants in adult male than in adult female patients both for the pollen season of *Ambrosia* and the pollen-free season. Furthermore, adults are substantially more sensitive to severe asthma attack than the elderly for the season of total pollen excluding *Ambrosia* pollen. The joint effect of the chemical and pollen variables is the highest for the asthma ER cases in the pollen season of *Ambrosia*, basically due to the extra impact of the total pollen excluding *Ambrosia* pollen and partly due to *Ambrosia* pollen. A nonparametric regression technique was applied to discriminate between events of ER visit – no ER visit using pollen and chemical pollutants as explaining variables. Based on multiple correlations, the strongest relationships between ER visits and pollutants are observed during the pollen-free season. The elderly group with asthma bronchiale is characterized by weaker relationships between ER visits and pollutants compared to adults. Ratio of the number of correct decisions on the events of ER visit – no ER visit is lowest for the season of total pollen excluding *Ambrosia* pollen. Otherwise, similar conclusions hold as those received by multiple correlations.

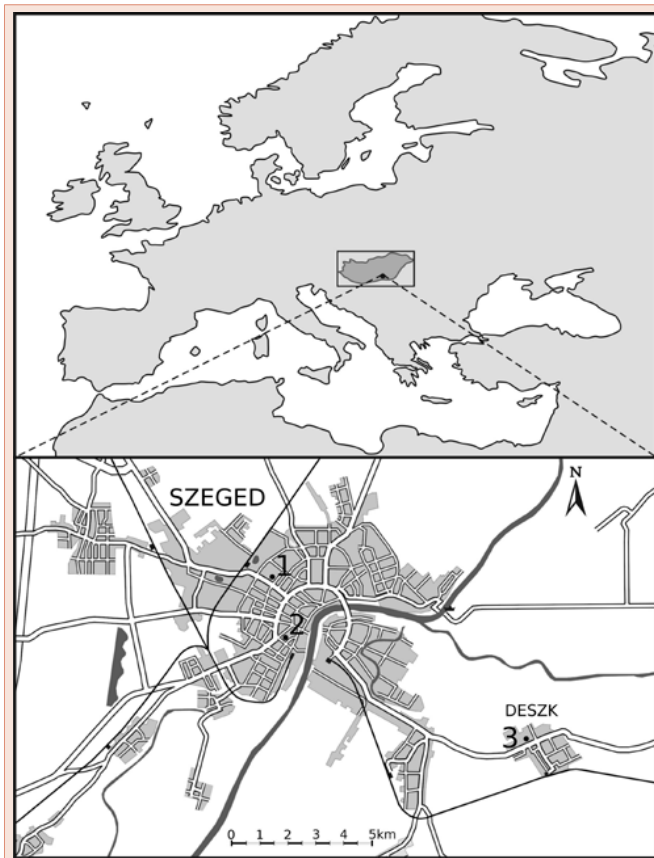
## Introduction

Air pollution, as a major and permanently rising hazard for the environment, is associated with large increases in medical expenses, morbidity and is estimated to cause about 800,000 annual premature deaths worldwide [1]. The prevalence of allergic respiratory diseases has also increased during the last three decades, especially in industrialized countries [2-6]. Furthermore, an examination of the historical record indicates that the prevalence of allergic rhinitis (AR) and allergic asthma have significantly increased over the past two centuries. Although the reasons for this increase are not fully elucidated, epidemiologic data suggest that certain pollutants produced from the burning of fossil fuels may have played an important role in the prevalence changes [7,8]. This increase may be partly explained by changes in environmental factors. Urbanization, the ever increasing automobile traffic with its high levels of vehicle emissions [7,9] and the changing lifestyle are linked to the rising frequency of respiratory allergic diseases [10]. Weather conditions can also affect both the biological and chemical air pollutants. There are evidences on the effect of air pollution upon allergens, increasing exposure to the latter, their concentration and/or biological allergenic activity [11-13]. Habitats and levels of pollen are changing in Europe, as a result of cultural factors, more international travel and climate change [14-17]. There is now considerable evidence to suggest that climate change will have, and has already had, impacts

on aeroallergens. These include impacts on pollen amount, pollen allergen city, pollen season, plant and pollen distribution, and other plant attributes [18-22]. Hence, due to the continually increasing air pollution, respiratory diseases are of major concern worldwide.

Air pollution of Hungary is one of the highest in Europe. Around 16,000 annual premature deaths attributable to exposure to ambient PM<sub>10</sub> concentrations are estimated in the country [23,24]. Furthermore, airborne pollen levels are also high. The Carpathian basin, involving Hungary (Figure 1) is considered the most polluted region with airborne ragweed (*Ambrosia*) pollen in Europe. *Ambrosia* in Hungary discharges the most pollen of all taxa; the ratio of its pollen release compared to the total pollen release in the late summer period is around 60-71% [25]. Highest counts on peak days in Szeged, Southern Hungary, are about one order of magnitude higher than those in other cities of Europe [26]. The sensitivity of patients to ragweed in Szeged is 83.7% [27]. About 30% of the Hungarian population has some type of allergy, 65% of them have pollen-sensitivity, and at least 60% of this pollen-sensitivity is caused by *Ambrosia* [28]. The number of patients with registered allergic illnesses has doubled and the number of cases of allergic asthma has become four times higher in Southern Hungary by the late 1990s over the last 40 years [28].

The substantial increase of respiratory diseases in industrialized countries is partly attributable to a combination of chemical air



**Figure 1:** Location of Europe with Hungary (upper panel) and the urban web of Szeged with the positions of the data sources (lower panel). 1: air quality and meteorological monitoring station; 2: aerobiological station; 3: Hospital of Chest Diseases in Deszk.

pollutants and allergenic pollen existing in the air of big cities. Several papers have analyzed separately the effects of either pollutants [29,30] or allergenic pollen [31,32] to hospital admissions of respiratory diseases; however, only very few studies have yet examined the effect of these two kinds of variables together [33,34]. Such papers revealed a significant effect between partly the pollen and chemical compounds and partly health for admitted respiratory patients, and this effect was higher than that detected separately either for the chemical air pollutants or pollen.

The purpose of this study is to analyze the joint effect of biological (pollen) and chemical air pollutants on daily asthma emergency room (ER) visits for both adult and elderly patients during three different seasons in the Szeged region of Southern Hungary. For this aim, factor analysis with special transformation was performed on the air pollutant and asthma ER visit data in order to find out the strength and direction of the association of the air pollutant and asthma variables. Then two procedures based on a nonparametric regression technique were applied to discriminate between the event of asthma ER visit – no asthma ER visit using pollen and chemical air pollutants. The data set applied is unique in the sense that it includes both categories of air pollutants as influencing variables.

## Materials and Methods

### Location and data

Szeged (46.25°N; 20.10°E) is the largest settlement in South-eastern Hungary (Figure 1). The area is characterized by an extensive flat landscape of the Great Hungarian Plain with an elevation of 79 m above mean sea level. The built-up area covers a region of about 46 km<sup>2</sup>. The city is the center of the Szeged region with 203,000 inhabitants. In the Köppen system the climate of Szeged is the Ca type (warm temperate climate) with relatively mild and short winters and hot summers [35]. The pollen content of the air was measured using a 7-day recording “Hirst-type” volumetric trap [36]. The air sampler is located about 20 m above the ground (Figure 1, lower panel).

Chemical air pollutants were collected in a monitoring station located in the downtown of Szeged at a distance of about 10 m from the busiest main road (Figure 1). They include the daily average mass concentrations of CO (mg·m<sup>-3</sup>), NO, NO<sub>2</sub>, SO<sub>2</sub>, O<sub>3</sub> and PM<sub>10</sub> (µg·m<sup>-3</sup>) [30]. When selecting biological air pollutants special emphasis is put on *Ambrosia* due to its above mentioned characteristics in Hungary. Besides ragweed (*Ambrosia*), further 23 relevant taxa are also taken into account. The taxa with their Latin (English) names are as follows: *Acer* (maple), *Alnus* (alder), *Artemisia* (mugwort), *Betula* (birch), *Cannabis* (hemp), *Carpinus* (hornbeam), *Chenopodiaceae* (goosefoots), *Corylus* (hazel), *Fraxinus* (ash), *Juglans* (walnut), *Morus* (mulberry), *Pinus* (pine), *Plantago* (plantain), *Platanus* (plane), *Poaceae* (grasses), *Populus* (poplar), *Quercus* (oak), *Rumex* (dock), *Salix* (willow), *Taxus* (yew), *Tilia* (linden), *Ulmus* (elm) and *Urtica* (nettle). Two pollen variables were formed for our analysis: the daily pollen counts of *Ambrosia* due to its extremely high concentrations during its short pollen season and the daily total pollen count (the pollen counts of each of the 24 taxa examined) excluding the pollen of *Ambrosia*.

The daily number of emergency room (ER) visits registered with asthma comes from the Hospital of Chest Diseases, Deszk, Csongrad County, located about 10 km from the monitoring station in Szeged downtown (Figure 1, lower panel).

Age, gender, date of admission and disease type were available for each patient. Asthma ER diseases were categorized using the International Classification of Diseases, Tenth Revision (ICD-10) [37], as follows. Allergic asthma (J4500), mixed asthma (J4580) and asthma without specification (J4590) were classified. Allergy was defined in all categories. Generally, three age groups can be considered in the research: young patients (0-14 years), adult patients (15-64 years) and elderly patients (equals to or older than 65 years) because the diagnostic category of asthma may include different syndromes in children, adults and elderly people [38]. Due to the very small patient number in younger age group, only categories of adults and the elderly people were analysed. For these latter two categories emergency room visits of male and female patients diagnosed with allergic asthma were considered. Altogether 936 ER visits were recorded due to asthma comprising 497 females and 439 males, respectively.

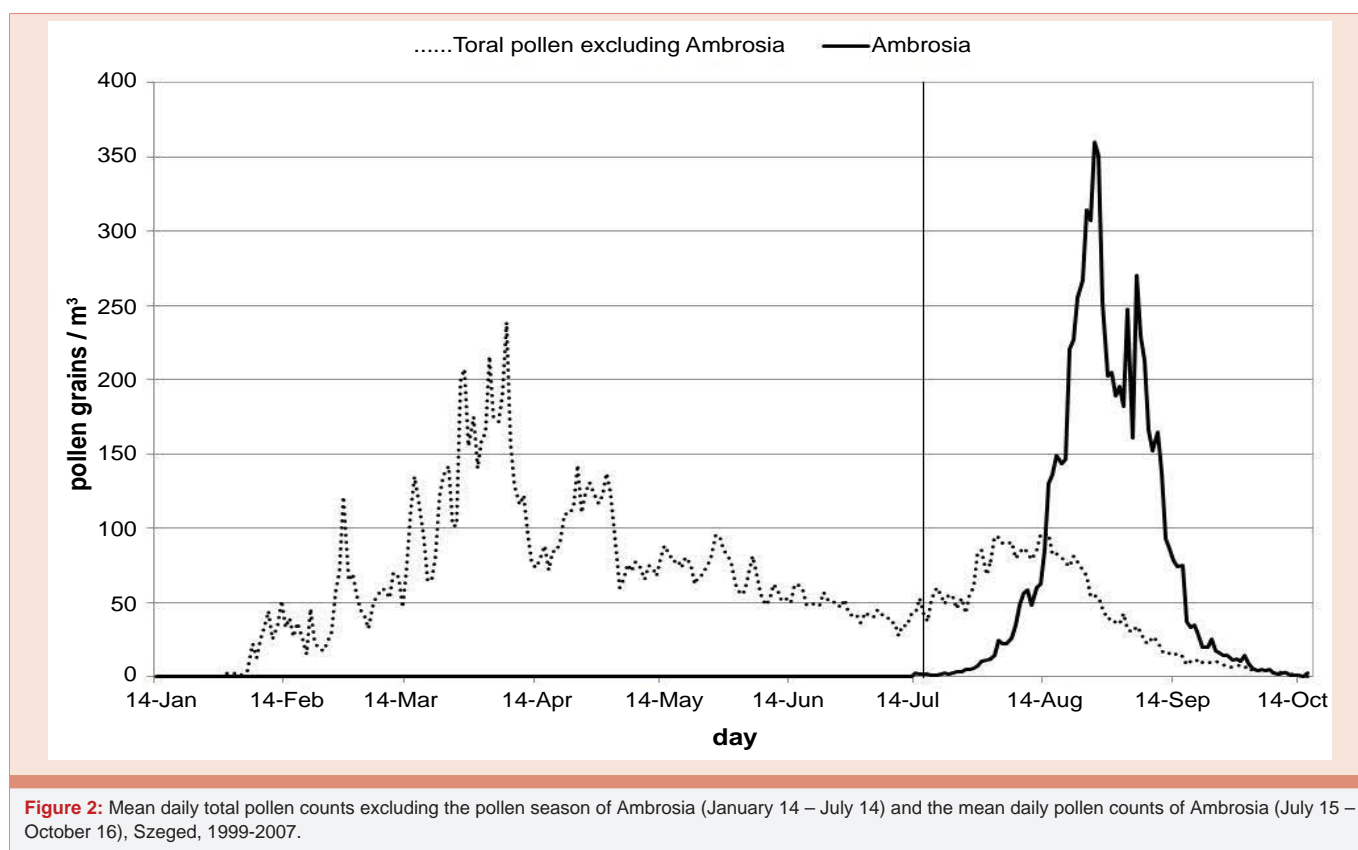
The analysis was performed for a nine-year period 1999-2007 with two pollen variable data sets, namely the daily *Ambrosia* pollen counts for the pollen season of *Ambrosia* (July 15 – October 16) and the daily total pollen counts excluding the pollen season of *Ambrosia* (January 14 – July 14); furthermore, the pollen-free season (October 17 – January 13) were considered. Though the total pollen excluding the pollen season of *Ambrosia* comprises several allergens, this separation permits studying asthma ER cases that can be originated in the two pollen variables separately as *Ambrosia* dominates the pollen counts during the main part of its pollen season (Figure 2). Mean daily concentrations of the chemical air pollutants for the three periods are presented in Table 1.

The pollen season is defined by its start and end dates. For the start (end) of the season we used the first (last) date on which at least 1 pollen grain·m<sup>-3</sup> of air is recorded and at least 5 consecutive (preceding) days also show 1 or more pollen grains m<sup>-3</sup> [39]. Evidently, the pollen season varies from year to year. Here the longest pollen season observed during the nine-year period was assigned to each year.

## Methods

### Factor analysis and special transformation

Factor analysis identifies any linear relationships among subsets of examined variables and this helps to reduce the dimensionality of the initial database without substantial loss of information. First, a factor analysis was applied to the initial dataset consisting of 9 variables (8 explanatory variables and 1 resultant variable defined by the number of daily ER visits with asthma) in order to transform the original variables to fewer variables. These new variables (called factors) can be viewed as latent variables explaining the joint behavior of pollutant – asthma ER visit variables. The optimum number of retained factors can be determined by different statistical criteria [40]. The most common and widely accepted one is to specify a least percentage (80%) of the total variance in the original variables that has to be achieved [41]. After performing the factor analysis, a special transformation of the retained factors was made to discover to what degree the above-mentioned explanatory variables affect the resultant variable, and to give a rank of their influence [42]. When performing



**Figure 2:** Mean daily total pollen counts excluding the pollen season of *Ambrosia* (January 14 – July 14) and the mean daily pollen counts of *Ambrosia* (July 15 – October 16), Szeged, 1999-2007.

**Table 1:** Units: CO: mg·m<sup>-3</sup>; PM<sub>10</sub>: NO, NO<sub>2</sub>, O<sub>3</sub>, SO<sub>2</sub>: µg·m<sup>-3</sup>.

Period:	CO	PM <sub>10</sub>	NO	NO <sub>2</sub>	O <sub>3</sub>	SO <sub>2</sub>
Jan 14 – Jul 14	464.2	39.6	13.3	28.4	39.7	6.2
Jul 15 – Oct 16	425.4	36.7	13.1	26.6	37.3	4.7
Oct 17 – Jan 13	627.8	48.3	25.6	27.2	15.0	7.9

factor analysis on the standardized variables factor loadings received are correlation coefficients between the original variables and, after rotation, the coordinate values belonging to the turned axes (namely, factor values). If the resultant variable is strongly correlated with the factor and an influencing variable is highly correlated with the same factor, then the influencing variable is also highly correlated with the resultant variable. Accordingly, it is advisable to combine all the weights of the factors, together with the resultant variable, into one factor. Namely, it is effective to rotate so that only one factor has great load with the resultant variable. The remaining factors are uncorrelated with the resultant variable; that is to say, are of 0 weights [42]. This latter procedure is called special transformation.

### Nonparametric regression

Let  $Y$  be an indicator variable which takes values 1 or 0 according to whether ER visit happens or does not happen on a given day. Our goal is to estimate the probability of this ER visit event conditioned on the explaining variables  $X=(X_1, \dots, X_m)$ . In order to avoid misspecification of the analytical form of the relationship between  $X$  and  $Y$  a nonparametric regression technique is applied. Because every variable has annual cycle the estimator uses data only from a time interval (time window) of the actual time  $t$ . Having a data set  $(\mathbf{x}_1, y_1), \dots, (\mathbf{x}_n, y_n)$  available at instances  $t_1, \dots, t_n$  we extend the classical Nadaraya-Watson estimator to the time-varying case as

$$\hat{y}(\mathbf{x}, t) = \frac{\sum_{i=1}^n y_i K(\|\mathbf{x}_i - \mathbf{x}\|/h) K((t_i - t)/b)}{\sum_{i=1}^n K(\|\mathbf{x}_i - \mathbf{x}\|/h) K((t_i - t)/b)}$$

Where  $K(u)$  is a kernel function evaluated at  $u$ , and  $b$  is the time window. Thus, the estimated probability is a weighted sum of the indicator values  $y_i$  accompanied with explaining variables  $x_i$ . The weights are controlled by the distance  $\|\mathbf{x}_i - \mathbf{x}\|$  via the kernel  $K$ , which is chosen here as the Epanechnikov kernel. Specifically, when an  $x_i$  is close to  $\mathbf{x}$  the weight of  $y_i$  is large, while an  $x_i$  far from  $\mathbf{x}$  provides a small weight for  $y_i$ . The so-called bandwidth  $h$  plays a similar role as  $b$ ; it controls the neighborhood of the explaining variable  $\mathbf{x}$  where  $x_p$  and thus  $y_p$  are taken into account. The distance mentioned above is the Euclidean with a slight modification. Namely, in order to ensure the same potential importance of every explaining variable, the variables are divided first by their standard deviations. Hence,  $h$  has no unit. Rigorous mathematical background of nonparametric regression techniques including ideas to choose the kernel and estimate  $h$  and  $b$  can be found [43].

The goodness of estimating the ER visit event probabilities conditioned on the explaining variables is measured by  $R = (1 - MSE/V)^{1/2}$ , where  $MSE = 1/n \sum_{i=1}^n (\hat{y}(\mathbf{x}_i, t_i) - y(\mathbf{x}_i, t_i))^2$  and  $V$  is the variance calculated from the indicator data  $(y_1, \dots, y_n)$ . Because  $R$  is identical with the multiple correlation for multivariate linear regressions, hereafter the quantity  $R$  is labelled multiple correlation.

Usually, a data set available is divided into a learning set and a validation set. The learning set is used to estimate parameters of the statistical model, and this model is then applied to the validation set. A general rule of thumb is to consider the learning set to be around

80% of the total data and the validation set to be the remaining 20%. In this case, however, such a choice of the validation set would cover a small amount of cases. Note that the parameters to be estimated in the nonparametric technique include the time window and bandwidth. Therefore, the validation should include just a proper selection of these parameters. Having  $K$  years of data, our validation makes it possible to use  $K$ -year validation set with  $(K-1)$ -year learning set. Taking the  $k$ th year from the entire data set, the parameters are estimated with data omitting the  $k$ th year, and estimates for the  $k$ th year are then obtained using these parameters. The procedure is applied for  $k=1, K$  and thus these estimates for the entire data set are directly validated. A simplification working with the mean of annually varying parameters can be made because the variability of the  $K$  number time windows and bandwidths is very small. Section 3.3 will show these validated results.

## Results

### Optimal time lags

It is reasonable to allow time lags between pollutant concentrations and number of respiratory care. A wide range of candidate time lags are applied for finding the optimal time delay [38,44], but the literature generally shows delays up to 3 days in patient response to pollution exposure [30,45,46].

Our optimal time lags have been selected with the help of the  $t$ -test. Namely, a time lag accompanied with the highest absolute  $t$ -value was chosen optimal for every explaining variable separately. They vary from zero to five days. There is a tendency with the increasing age for increasing lags.  $\text{NO}_2$  has the highest number of positive time shifts from air pollutants (typically 5 days for the pollen-free season, furthermore 5 days for the elderly in the pollination season excluding the pollen season of *Ambrosia*, while generally 2 days for the remaining period and categories) followed by  $\text{O}_3$  and  $\text{NO}$ . Within the chemical air pollutants, in agreement with other studies [47], 0-3 days and 0-4 positive lags are associated with  $\text{SO}_2$  and  $\text{CO}$ , respectively. At the same time, for  $\text{PM}_{10}$  uniformly 2-day time lags are typical. For the two pollen variables the optimal time lags are the same for both age groups, namely 2 and 5 days for *Ambrosia* pollen and the remaining pollen, respectively.

### Factor analysis with special transformation

After performing a factor analysis for adults, the elderly and the total sensitive subjects (male, female and all patients diagnosed with asthma were considered for each age category) for the three seasons (altogether  $3 \times 3 \times 3 = 27$  factor analyses) 6 and 4 factors were retained for each category in the pollen season of *Ambrosia* and in the pollen-free season, respectively. At the same time, 5 factors were retained for each category in the pollen season of the total pollen excluding *Ambrosia* pollen. In order to calculate the rank of importance of the explanatory variables for determining the resultant variable, loadings of the retained factors were projected onto Factor 1 for all 27 factor analyses with the special transformation (Table 2a-2c) [42].

For the period July 15 – October 16, adult male patients are more endangered by both chemical air pollutant and pollen related asthma than adult female patients (Table 2a). Both pollen variables are of key

**Table 2a:** Special transformation. Effect of the explanatory variables on asthma as resultant variable and the rank of importance of the explanatory variables on their factor loadings transformed to Factor 1 for determining the resultant variable; July 15 – October 16 (thresholds of significance: italic:  $x0.05 = 0.067$ ; bold:  $x0.01 = 0.088$ ).

Explanatory variables	Adults (15-64 years)						The elderly ( $\geq 65$ years)						Total					
	male		female		all		male		female		all		male		female		all	
	weight	rank	weight	rank	weight	rank	weight	rank	weight	rank	weight	rank	weight	rank	weight	rank	weight	rank
Patient number	0.998	–	-0.996	–	-0.999	–	0.999	–	-0.994	–	0.995	–	0.996	–	-0.992	–	0.997	–
CO ( $\mu\text{g}\cdot\text{m}^{-3}$ )	-0.053	6	-0.025	6	-0.022	4	0.057	2	-0.065	4	<i>0.085</i>	1	0.036	5	-0.052	5	0.063	<b>1</b>
PM <sub>10</sub> ( $\mu\text{g}\cdot\text{m}^{-3}$ )	-0.056	5	-0.009	8	-0.038	1	0.016	5	0.034	6	-0.015	7	0.052	3	0.009	8	0.023	<b>5</b>
NO ( $\mu\text{g}\cdot\text{m}^{-3}$ )	0.030	7	0.051	5	0.021	5	0.045	3	0.025	7	0.011	8	0.051	4	0.056	4	-0.011	<b>7</b>
NO <sub>2</sub> ( $\mu\text{g}\cdot\text{m}^{-3}$ )	-0.022	8	0.052	4	0.032	2	-0.014	8	<i>0.070</i>	3	-0.061	3	0.003	8	<i>0.079</i>	3	-0.058	<b>2</b>
O <sub>3</sub> ( $\mu\text{g}\cdot\text{m}^{-3}$ )	<b>-0.126</b>	3	<i>0.085</i>	2	0.014	6	-0.017	4	<i>0.083</i>	1	-0.073	2	0.059	2	<b>0.113</b>	1	-0.051	<b>3</b>
SO <sub>2</sub> ( $\mu\text{g}\cdot\text{m}^{-3}$ )	<b>0.216</b>	1	0.020	7	0.003	8	-0.064	1	0.013	8	-0.052	5	-0.019	7	0.024	6	-0.029	<b>4</b>
Total weight	0.503	–	0.242	–	0.130	–	0.213	–	0.290	–	0.297	–	0.220	–	0.333	–	0.235	–
Ambrosia pollen (pollen·m <sup>-3</sup> ·day <sup>-1</sup> )	<b>0.094</b>	4	-0.052	3	-0.029	3	-0.016	6	0.064	5	-0.058	4	-0.025	6	-0.010	7	-0.007	<b>8</b>
Total pollen excluding Ambrosia pollen (pollen·m <sup>-3</sup> ·day <sup>-1</sup> )	<b>-0.180</b>	2	<i>-0.086</i>	1	0.006	7	-0.015	7	<i>-0.073</i>	2	0.045	6	<b>-0.107</b>	1	<b>-0.108</b>	2	0.020	<b>6</b>
Total weight	<b>0.274</b>	–	<b>0.138</b>	–	<b>0.035</b>	–	<b>0.031</b>	–	<b>0.137</b>	–	<b>0.103</b>	–	<b>0.132</b>	–	<b>0.118</b>	–	<b>0.027</b>	–

**Table 2b:** Special transformation. Effect of the explanatory variables on asthma as resultant variable and the rank of importance of the Explanatory variables on their factor loadings transformed to Factor 1 for determining the resultant variable; October 17 – January 13 (thresholds of significance: italic:  $x0.05 = 0.069$ ; bold:  $x0.01 = 0.091$ ).

Explanatory variables	Adults (15-64 years)						The elderly ( $\geq 65$ years)						Total					
	male		female		all		male		female		all		male		female		all	
	weight	rank	weight	rank	weight	rank	weight	rank	weight	rank	weight	rank	weight	rank	weight	rank	weight	rank
Patient number	-0.993	–	-1.000	–	-0.997	–	1.000	–	0.997	–	0.998	–	0.995	–	0.998	–	0.999	–
CO ( $\mu\text{g}\cdot\text{m}^{-3}$ )	<b>0.117</b>	1	-0.019	4	0.068	2	0.040	4	<b>0.145</b>	1	<b>0.132</b>	1	-0.069	3	<b>0.101</b>	1	0.021	<b>4</b>
PM <sub>10</sub> ( $\mu\text{g}\cdot\text{m}^{-3}$ )	-0.015	5	0.023	3	0.005	6	0.048	3	0.003	6	0.037	6	0.041	4	-0.024	4	0.011	<b>6</b>
NO ( $\mu\text{g}\cdot\text{m}^{-3}$ )	-0.001	6	0.012	6	0.008	5	0.049	2	0.010	5	0.043	4	0.031	5	-0.002	5	0.019	<b>5</b>
NO <sub>2</sub> ( $\mu\text{g}\cdot\text{m}^{-3}$ )	<i>-0.071</i>	3	-0.016	5	-0.059	3	<i>0.084</i>	1	-0.014	4	0.052	3	<b>0.108</b>	1	0.002	6	<i>0.075</i>	<b>2</b>
O <sub>3</sub> ( $\mu\text{g}\cdot\text{m}^{-3}$ )	-0.015	4	-0.041	1	-0.037	4	0.013	5	0.038	3	0.037	5	0.019	6	0.052	3	0.048	<b>3</b>
SO <sub>2</sub> ( $\mu\text{g}\cdot\text{m}^{-3}$ )	<b>-0.115</b>	2	-0.034	2	<b>-0.101</b>	1	0.006	6	<i>0.084</i>	2	0.063	2	<b>0.093</b>	2	<i>0.070</i>	2	<b>0.113</b>	<b>1</b>
Total weight	<b>0.334</b>	–	<b>0.145</b>	–	<b>0.278</b>	–	<b>0.240</b>	–	<b>0.294</b>	–	<b>0.364</b>	–	<b>0.361</b>	–	<b>0.251</b>	–	<b>0.287</b>	–

importance only for adult male subjects in influencing the asthma ER cases. Total pollen excluding *Ambrosia* pollen is the most important factor for adult female and total male patients. Furthermore, it is an important component of asthma ER cases for adult male, elderly female and total female subjects. Besides total pollen excluding *Ambrosia* pollen, subjects are most sensitive to ozone (O<sub>3</sub>). It is a relevant variable for adult male and female patients, elderly female and elderly all subjects, as well as for total female patients. For adult male patients sulphur dioxide (SO<sub>2</sub>), for elderly female and total female subjects nitrogen dioxide (NO<sub>2</sub>) and for elderly total patients carbon monoxide (CO) are the most important variables in influencing asthma ER cases (Table 2a). The total weight of the chemical variables is significantly higher than that of the pollen variables for all age and gender categories. The total weights are around double high for adult male patients for both the chemical and pollen variables compared to the remaining categories. (Table 2a). Both for adult male and female

subjects, elderly female patients, as well as the total male and female subjects the total pollen excluding *Ambrosia* pollen is among the first two most relevant pollutants, while the other most important variable is SO<sub>2</sub> and for the remaining four cases it is O<sub>3</sub>. When summing up the weight of the variables for the individual categories, total pollen excluding *Ambrosia* pollen and O<sub>3</sub> are the most relevant variables, while PM<sub>10</sub> and NO are the least important pollutants influencing asthma ER cases. Among pollen variables, total pollen excluding *Ambrosia* pollen is the most notable pollutant (Table 2a).

For the period October 17 – January 13, the chemical air pollutants show stronger associations with asthma in adult male than in adult female subjects (Table 2b). For adult male subjects, in decreasing order, CO, SO<sub>2</sub> and NO<sub>2</sub> are significantly correlated with the number of asthma ER cases. For elderly male patients NO<sub>2</sub>, while for elderly female patients CO and SO<sub>2</sub> are the most relevant

variables. Furthermore, for total male cases NO<sub>2</sub> and SO<sub>2</sub>, while for total female subjects CO and SO<sub>2</sub> are the most important variables. The total weight of the explanatory variables is substantially higher for all the elderly, total male and adult male patients compared to the remaining age and gender categories. Summing up the weights of the pollutants for each category, CO, SO<sub>2</sub> and NO<sub>2</sub> are the most notable explanatory variables (in decreasing order), while NO and PM<sub>10</sub> are the least important pollutants (Table 2b).

For the period January 14 – July 14, asthma ER cases denote notably stronger associations with the chemical variables for adults than for the elderly (Table 2c). In the case of adult male patients O<sub>3</sub>, while for adult female patients (in decreasing order) SO<sub>2</sub>, NO, NO<sub>2</sub> and PM<sub>10</sub> are the most important factors for asthma ER cases. For elderly female and total female subjects CO and O<sub>3</sub>, as well as SO<sub>2</sub>, NO<sub>2</sub> and NO are the most relevant variables. Asthma is strongly influenced by SO<sub>2</sub>, NO<sub>2</sub>, O<sub>3</sub> and NO in all adults, by SO<sub>2</sub> in all the elderly and by SO<sub>2</sub>, NO and NO<sub>2</sub> in total sensitive patients, all subjects. The chemical variables have substantially higher total weight for each age and gender categories compared to the factor loadings of the total pollen excluding *Ambrosia* pollen. The role of the pollen variable is practically unimportant for this period. The most sensitive categories indicating by the highest total weights include adult female and adult all patients, as well as total female and total sensitive patients, all subjects. Cumulated weights of the pollutants show that

SO<sub>2</sub>, NO, NO<sub>2</sub> and O<sub>3</sub> are the most important explanatory variables influencing asthma ER visits. CO and PM<sub>10</sub> together with the total pollen excluding *Ambrosia* pollen can be considered practically negligible, (Table 2c).

### Conditional probabilities and events of ER cases

The time window *b* and the bandwidth *h* vary from 6 to 30 days and from 1.1 to 4.6 respectively depending on male/gender categories and periods within the year. The pollen season of *Ambrosia* has the smallest *b* and *h*, while the season of the remaining pollen requires the largest ones.

Table 3 summarizes the multiple correlations between the explaining variables and the indicator variable of asthma ER visit – no asthma ER visit, indicating correlations significantly different from zero. Significance levels were determined by a Monte-Carlo simulation experiment as follows. First, indicator variable were randomly reordered. The original observed values were then substituted by these reordered data and the nonparametric regression technique was performed. Finally, the multiple correlation obtained from this procedure was calculated. These steps were repeated 1,000 times, and appropriate quantiles of the empirical probability distribution function of these 1,000 simulated correlations yielded the critical value for checking the null-hypothesis of being the multiple correlation zero. Strongest relationships between ER visit event and

**Table 2c:** Special transformation. Effect of the explanatory variables on asthma as resultant variable and the rank of importance of the Explanatory variables on their factor loadings transformed to Factor 1 for determining the resultant variable; January 14 – July 14 (thresholds of significance: italic:  $\alpha = 0.05$ ; bold:  $\alpha = 0.01$  = 0.064).

Explanatory variables	Adults (15-64 years)						The elderly (≥ 65 years)						Total					
	male		female		all		male		female		all		male		female		all	
	weight	rank	weight	rank	weight	rank	weight	rank	weight	rank	weight	rank	weight	rank	weight	rank	weight	rank
Patient number	1.000	–	0.998	–	0.999	–	0.999	–	-0.998	–	0.999	–	1.000	–	0.996	–	0.999	–
CO (µg·m <sup>-3</sup> )	-0.001	7	-0.005	7	-0.004	7	0.043	2	<i>0.051</i>	1	-0.001	7	0.028	2	-0.031	6	-0.005	<b>7</b>
PM <sub>10</sub> (µg·m <sup>-3</sup> )	-0.007	4	<i>0.054</i>	4	0.035	5	-0.012	4	0.001	7	-0.010	6	-0.014	5	0.045	5	0.023	<b>6</b>
NO (µg·m <sup>-3</sup> )	-0.005	6	<b>0.091</b>	2	<i>0.064</i>	4	0.043	1	0.007	6	0.029	3	0.025	3	<b>0.073</b>	3	<b>0.070</b>	<b>2</b>
NO <sub>2</sub> (µg·m <sup>-3</sup> )	0.007	5	<b>0.090</b>	3	<b>0.071</b>	2	-0.009	5	-0.027	5	0.012	5	-0.001	7	<b>0.091</b>	2	<b>0.066</b>	<b>3</b>
O <sub>3</sub> (µg·m <sup>-3</sup> )	<i>-0.063</i>	1	-0.039	6	<b>-0.068</b>	3	0.002	6	<i>-0.049</i>	2	0.035	2	-0.047	1	-0.007	7	-0.036	<b>4</b>
SO <sub>2</sub> (µg·m <sup>-3</sup> )	0.008	3	<b>0.108</b>	1	<b>0.084</b>	1	0.026	3	-0.043	3	<i>0.049</i>	1	0.024	4	<b>0.115</b>	1	<b>0.099</b>	<b>1</b>
Total weight	0.091	–	0.387	–	0.326	–	0.135	–	0.178	–	0.136	–	0.139	–	0.362	–	0.299	–
Total pollen excluding <i>Ambrosia</i> pollen (pollen·m <sup>-3</sup> ·day <sup>-1</sup> )	0.009	2	-0.043	5	-0.026	6	-0.002	7	0.031	4	-0.022	4	0.006	6	-0.053	4	-0.035	<b>5</b>
Total weight	<b>0.009</b>	–	<b>0.043</b>	–	<b>0.026</b>	–	<b>0.002</b>	–	<b>0.031</b>	–	<b>0.022</b>	–	<b>0.006</b>	–	<b>0.053</b>	–	<b>0.035</b>	–

**Table 3:** Multiple correlation between the explanatory variables and the indicator variable defined by the events of emergency visit (italic: significant at 5% level, bold: significant at 1% level). (Thresholds of significance for seasons of *Ambrosia* pollen, pollen-free season, Total pollen excluding *Ambrosia* pollen: italic:  $\alpha = 0.05$  = 0.071, 0.071, 0.050; bold:  $\alpha = 0.01$  = 0.093, 0.093, 0.066).

Season	Adults (15-64 years)			The elderly (≥ 65 years)			Total		
	Male	Female	all	Male	Female	all	Male	Female	all
<i>Ambrosia</i> pollen	<b>0.153</b>	<b>0.122</b>	<b>0.118</b>	0.068	<b>0.097</b>	<b>0.105</b>	<b>0.125</b>	<b>0.115</b>	<b>0.082</b>
Pollen-free	<b>0.150</b>	<b>0.275</b>	<b>0.189</b>	<b>0.201</b>	<b>0.217</b>	<b>0.236</b>	<b>0.174</b>	<b>0.212</b>	0.220
Total pollen excluding <i>Ambrosia</i> pollen	0.126	0.141	0.171	0.079	0.093	0.075	0.092	0.161	0.091

pollutants can be observed during the pollen-free season, while no substantial differences between correlations corresponding to the two other periods can be seen. Another important finding is that the elderly group is characterized by weaker relationships between ER visits and pollutants. Finally, slightly higher correlations for females (Table 3) indicate somewhat higher sensitivity of this gender to pollutants at least for ER visits.

Estimation of the probability of the event of ER visit – no ER visit conditioned on the explaining variables makes it possible to create a decision on this event. Namely, when the estimated probability is higher (lower) than  $p$ , the answer is yes (no) concerning the event of ER visit – no ER visit, where  $p$  is the relative frequency of these visits. Such a decision is called correct when the decision on the event is identical with the observed event. Table 4 shows the ratios of the number of correct decisions to the number of decisions. Although the lowest ratios can be observed for the season of total pollen excluding *Ambrosia* pollen, no substantial differences appear among seasons. The most prominent finding is that percentages of correct decisions are remarkably higher for ER visits than for no ER visits. The events are thus overrated, which is, however, a smaller problem than the reverse case of overrating the events of no ER visit.

## Discussion

The analysis of asthma ER visits due to air pollutant concentrations is a very important issue in public health. The present study analyses a large dataset, namely a nine-year daily database. Our study can be considered specific in the sense that it concurrently includes two categories of influencing variables with 6 chemical and 2 biological (pollen) parameters, furthermore two age categories and gender with asthma ER cases as resultant variable. The above-mentioned associations are examined for three seasons. We know only one study [48] that calculated seasonal variations of respiratory admissions

in association with levels of  $PM_{10}$ ,  $SO_2$ , CO and  $NO_2$ , and we know only another study [49] that made an attempt to quantify the impact of different chemical pollutants including meteorological elements on the incidence of AR and asthma. However, pollen has not been studied from this point of view. Two novel procedures are applied in our study: factor analysis with special transformation and a nonparametric regression technique.

Factor analysis with special transformation was applied in order to examine the role of pollen variables and chemical air pollutants in asthma ER visits and to determine the rank of importance of these variables in influencing the above severe asthma attacks. The number of asthma ER visits denotes notably stronger associations with the pollutants in adult male than in adult female patients both for the pollen season of *Ambrosia* (Table 2a) and the pollen-free season (Table 2b). Furthermore, adults are substantially more sensitive to severe asthma attack than the elderly for the season of total pollen excluding *Ambrosia* pollen (Table 2c). Asthma in the elderly is frequently underdiagnosed and undertreated [50-52]. The assessment of the disease is complicated by different factors, namely poor perception of symptoms, acceptance of dyspnoea as being “normal” in old age, and reduced expectations of mobility and activity [53].

The majority of asthmatic patients can control or partly-control the disease with a regular long-term treatment and go to the physician only in the case of emergency or the lack of the effectiveness of the usual therapy. However, for only a fraction of subjects (late admissions of neglected or untreated AR) an inflammation can induce in the lower respiratory tract and hence can lead to asthma. However, asthma can develop independently, as well. Whole pollen grains can provoke the upper respiratory symptoms of AR, while smaller pollen fragments capable of depositing in the lower respiratory tract have been proposed as the trigger for asthma [54]. Based on the total weights of the individual categories, the joint effect of the chemical

**Table 4:** Ratio (%) of the number of correct decisions for events 0 and 1 to the number of observed events 0 and 1. Event 1 and 0 refers to the emergency visit and no emergency visit, respectively. Values in bold show higher percentages than those expected when no statistical relationship exists between the explanatory variables and emergency visits. Number in parenthesis shows the relative frequency  $p$  (%) of days with emergency visits.

Age categories	Season of <i>Ambrosia</i> pollen		Pollen-free Season		Season of total pollen excluding <i>Ambrosia</i> pollen	
	0	1	0	1	0	1
Adult male	53.4	<b>73.9</b> (5.4)	54.1	<b>72.2</b> (9.9)	58.5	68.4 <b>(5.8)</b>
Adult female	45.3	<b>73.9</b> (7.4)	54.9	<b>77.3</b> (12.1)	49.6	68.7 <b>(8.0)</b>
Adult all	51.3	<b>64.4</b> (12.8)	51.2	<b>63.5</b> (22.0)	55.6	64.6 <b>(13.8)</b>
The elderly male	53.8	<b>63.3</b> (3.9)	59.3	<b>75.0</b> (6.6)	53.6	62.1 <b>(5.8)</b>
The elderly female	42.3	<b>71.8</b> (4.6)	57.9	<b>76.9</b> (7.2)	51.8	69.1 <b>(4.1)</b>
The elderly all	43.9	<b>71.0</b> (8.5)	46.8	<b>83.7</b> (13.8)	46.0	64.0 <b>(9.9)</b>
Male total	55.6	<b>58.5</b> (9.1)	51.6	<b>68.4</b> (15.7)	52.7	47.3 <b>(11.5)</b>
Female total	43.9	<b>78.8</b> (11.7)	54.7	<b>63.5</b> (17.4)	51.2	63.4 <b>(11.8)</b>
Total sensitive patients, all subjects	<b>49.1</b>	63.6 <b>(20.8)</b>	<b>47.5</b>	75.9 <b>(33.1)</b>	<b>47.2</b>	61.0 <b>(23.3)</b>

and pollen variables is mostly the highest for the asthma ER cases in the period July 15 – October 16 (Table 2a), basically due to the extra impact of the total pollen excluding *Ambrosia* pollen and partly due to *Ambrosia* pollen. Key pollutants differ in the two age categories depending on season and sex. For adult male and female patients, the total weight of the chemical variables in the occurrence of asthma ER visits is higher in the pollen season of *Ambrosia* compared to the pollen-free season (Table 2). Some studies found that exposure to outdoor air pollutants may increase the risk of allergic airway diseases [11,55-58]. This may be explained by the fact that air pollutants, especially burning of fossil fuels, can affect allergens. It was found that fossil fuel combustion products may lead to an enhancement of allergic inflammation contributing to the increased prevalence and morbidity of asthma and AR [8]. Diesel exhaust is able to enhance production of IgE playing an important role in allergy and inducing type 1 hypersensitivity [7]. NO<sub>2</sub> and SO<sub>2</sub> not only affect pollen morphology but also change their allergenic potency [59]. Seasonal dependence of respiratory diseases is confirmed by [48] who found a monsoon climate related seasonal dependence of hospitalization propensity of asthma. Increasing evidences support that climate changes are blamed for the increase in allergic diseases [19].

In the period October 17 – January 13 (Table 2b), a statistically significant negative association was found between asthma ER visits in adult male patients and CO levels; furthermore, a relevant positive association occurred between the above severe asthma attacks in elderly female, elderly all, as well as in total female subjects on one hand and CO levels on the other, respectively. At the same time, for the period July 15 – October 16 (Table 2a) an important positive association was shown between asthma ER cases and CO concentrations in elderly total subjects. CO has been associated with respiratory hospital admissions in several studies. [60] did not find any statistically significant relationship between respiratory hospital admissions and CO, while [49,61-64] confirmed the positive role of CO on respiratory health effects. The impact of a long-lasting but low level exposure to CO on respiratory system is therefore still unclear.

In the period January 14 – July 14 (Table 2c) adult female patients indicated a significant positive association with PM<sub>10</sub> levels, while for the remaining seasons and categories no important connections were detected. [61,65] once suggested that gaseous air pollutants, especially CO and NO<sub>2</sub>, are more important predictors of acute hospitalization for respiratory conditions than particulate matter. In contrast, [49] found that elevated PM<sub>10</sub> levels indicate a dominant role among the main air pollutants. [30,61] found that the association between particulate matter and health conditions was not significant, while others [38,60,64,66-71] found that the number of admissions for respiratory causes rose significantly with increased exposure to particulate matter. It should be added that the health impact of particulates is complex as their biological effect can be influenced by the particle size and composition [30].

Asthma ER visits were sensitive to NO only for adult female and adult all subjects, as well as for total female and total sensitive patients all subjects (all are positive associations) in the period January 14 – July 14 (Table 2c). At the same time, NO<sub>2</sub> is inversely associated with asthma in elderly female and total female subjects for the period

July 15 – October 16 (Table 2a), at the same time it is proportional with adult males, elderly males and total males, as well as with total sensitive subjects all patients for the period October 17 – January 13 (Table 2b). In addition, NO<sub>2</sub> is positively associated with asthma ER cases in adult females and adult all subjects, as well as in total females and total sensitive patients all subjects for the period January 14 – July 14 (Table 2c). Although NO and NO<sub>2</sub> are thought to increase the predisposition to respiratory diseases, there is still a disparity between the results of different studies. For example, high levels of NO<sub>2</sub> partly indicate no significant association with respiratory admissions [30] and partly increase the susceptibility for respiratory diseases [60]. Other examples of the significant positive impact of NO<sub>2</sub> levels on respiratory causes are given [49,61,62,64,72,73,77]. Climate and NO<sub>2</sub> dependence of respiratory diseases is also stressed.

Several studies suggest that high concentrations of O<sub>3</sub> are harmful to human health and they reveal that there is a positive association between O<sub>3</sub> and respiratory hospital admissions [49,67,69,74-76]. Furthermore, the lowest ozone concentrations in the winter months were found to involve an apparent decrease in consultations for upper respiratory tract diseases in London [66]. In contrast, we observed a statistically significant negative effect of ozone except for elderly female subjects in the period January 14 – July 14 (Table 2c). Hence we received two kinds of associations between ozone concentrations and asthma ER cases. The interpretation of these findings is not straightforward. However, it has been found that O<sub>3</sub> and NO<sub>2</sub>, with or without SO<sub>2</sub>, can enhance the airway allergic response in susceptible individuals such as those with asthma and rhinitis. Investigating cellular and sub-cellular mechanisms suggest that pollutants are likely to influence the actions and interactions of a variety of cells, and lead to the synthesis of pro-inflammatory mediators that modulate the activity and functions of inflammatory cells [78]. As there is no evidence that low levels of ozone are harmful, this association seems paradoxical. The phenomenon called Paradoxical Ozone Association, i.e. POA [79] could be due to methyl nitrite from some combustion of methyl ethers or esters in engine fuels. Methyl nitrite is known to be highly toxic, and closely related alkyl nitrites are known to induce respiratory sensitivity in humans [80]. Since sunlight is essential for ozone formation by photochemical oxidation, a probable explanation for POA is the existence of this nitrite pollutant that is rapidly destroyed by solar radiation. Hence, methyl nitrite is negatively correlated with O<sub>3</sub>. Since sunlight has the opposite effect on methyl nitrite, one would expect the most acute methyl nitrite effect in winter [79]. A negative association between O<sub>3</sub> levels and asthma ER visits in the summer period (July 15 – October 16) (Table 2a) can be explained by the fact that our monitoring station is situated at a junction with a high traffic volume.

We found significant positive associations between SO<sub>2</sub> levels and asthma ER visits in adult male patients for the period July 15 – October 16 (Table 2a), furthermore in adult males and adult all subjects, as well as in elderly females and in all three categories of total sensitive patients for the period October 17 – January 13 (Table 2b). Besides, relevant proportional associations were found between SO<sub>2</sub> concentrations and severe asthma cases in adult female and adult total patients, in elderly total subjects, as well as in total females and total sensitive patients all subjects for the period January 14 – July



14 (Table 2c). Previous findings concerning the role of SO<sub>2</sub> seem inconsistent. This pollutant was not significantly associated with respiratory diseases by [38,65], but other studies reported positive relationships [30,65,66,77].

*Ambrosia* pollen levels have a significant positive association only with adult male patients (Table 2a). Total pollen excluding *Ambrosia* pollen is in relevant inverse association with adult males and total males, while it is positively associated with adult females, elderly females and total females for the period July 15 – October 16 (Table 2a). In the period January 14 – July 14, total pollen excluding *Ambrosia* pollen indicates very low weights for each category involving an indifferent role in severe asthma attacks (Table 2c). Results received for the period July 15 – October 16 (Table 2a) indicating significant positive associations between pollen levels and the patient numbers, are similar to those found [77,81,82].

The results obtained for the elderly, especially concerning the chemical variables for the period January 14 – July 14 (Table 2c) and the pollen variables for the period July 15 – October 16 (Table 2a) differ substantially from those received for adults for the above periods. Some of the elderly habits, as social factors, tend to underestimate chronic diseases and consider them as a natural attendant of age. Hence, the elderly often do not turn to physician and seek medical treatment in time [83].

Multiple correlations between the explaining variables and the indicator variables defined by events of ER visit – no ER visit (Table 3) show the strongest relationships during the pollen-free season. Another important finding is that the elderly group is characterized by substantially weaker relationships between ER patients and pollutants.

Ratio of the number of correct decisions to the number of decisions on events of ER visit – no ER visit is the lowest for the pollen season of all taxa excluding *Ambrosia*. Again, much weaker results appear for the elderly group. Percentages in Table 4 can be compared to some reference percentages as follows. If we omit the information of the explaining variables on daily ER events and hence decisions are made with a random choice of these events, the expected percentages of the event of ER visit equal to the relative frequencies  $p$  of days with emergency visits. Thus, Table 4 shows that decision on events of ER visit provides useful information but with a price of underestimating the frequency of the event of no ER visit.

## Conclusion

Results received by the two procedures are partly different, due to the fact that factor analysis with special transformation describes only linear associations, while nonparametric regression techniques can handle nonlinear relationships. Now we emphasize the joint characteristics obtained by the two methodologies. Namely, the number of asthma ER visits indicates stronger associations with the pollutants in adult male than in adult female patients for the pollen season of *Ambrosia*. At the same time, ER visits due to asthma are more frequent in adult females, than in adult males and are substantially less prevalent in all the elderly patients than in all adults for the season of total pollen excluding *Ambrosia* pollen. Based on

all three seasons, asthma ER visits are remarkably more frequent in total female patients than in total male subjects. Note that relevant associations may differ per different seasons, but a common finding is that the elderly group is characterized by substantially weaker relationships between ER asthma visits and pollutants.

Anyone suffering from asthma needs a warning sign before symptoms set in. Taking precautions can help alleviate the discomfort caused by allergic reactions to pollutants. For instance, we have developed and applied different statistical models to predict *Ambrosia* pollen counts for 1-7 days ahead for Szeged distinguishing between rainy and non-rainy days using the preceding day values of 8 meteorological parameters and preceding day pollen concentration [84]. Our aim is to cooperate with the local and national media in order to inform the concerned subjects about the forthcoming high pollen levels. A future plan is to develop a combined air quality forecast several days ahead including both the *Ambrosia* pollen and the main chemical air pollutants.

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